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October 16, 1995

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Attn: Box Notice to File Missing Parts/  
Box Sequence Listing*

Re: U.S. Utility Patent Application  
Appl. No. 08/487,992; Filed: June 7, 1995  
For: **Vectors for Tissue-Specific Replication**  
Inventors: Hallenbeck *et al.*  
Our Ref: 1136.0020001/JAG/ARB

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted and Notice to Comply with Sequence Rules dated **August 16, 1995**, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136 (in duplicate);
2. Copy of the Notice to File Missing Parts of Application--Filing Date Granted;
3. Copy of the Notice to Comply with Sequence Rules;
4. Preliminary Amendment and Submission of Sequence Listing;
5. Paper and computer readable copy of Sequence Listing;
6. Original Declaration, executed by the inventors;
7. Recordation Cover sheet for Assignment (Form 017.PTO);

Assistant Commissioner for Patents

October 16, 1995

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8. Assignment to Genetic Therapy, Inc., official recordation and return of which is respectfully requested;
9. Assignee Certificate under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
10. Our Check No. 15792 for \$1,548.00 to cover:
  - \$ 750.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)
  - \$ 518.00 Fee for excess claims (37 C.F.R. § 1.16)
  - \$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
  - \$ 40.00 Assignment recordation fee(s) (37 C.F.R. § 1.21)
  - \$ 110.00 For extension of time fees under 37 C.F.R. § 1.136; and
11. A return postcard.

It is respectfully requested that the attached post card be stamped with the date of filing of these documents, and that it be returned to our courier.

In accordance with 37 C.F.R. § 1.821(f), the paper copy of the Sequence Listing and the computer readable copy of the Sequence Listing submitted herewith in the above application are the same.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX



Anne Brown  
Attorney for Applicants  
Registration No. 36,463



750.00 101  
130.00 105 A/R  
Patent and Trademark Office  
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\$58,000/03 A/R  
#3

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/487,992 06/07/95 HALLENBECK P 1136.0020001

0242/0816  
STERNE KESSLER GOLDSTEIN AND PDX  
1100 NEW YORK AVENUE NW  
SUITE 600  
WASHINGTON DC 20005-3934

0000

DATE MAILED:

08/16/95

### NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 2964.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 130 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 604 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☒ The oath or declaration:  
☐ is missing.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.

140. ☒ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
- |       |           |
|-------|-----------|
| 1 101 | 750.00 CK |
| 1 105 | 130.00 CK |
| 1 103 | 558.00 CK |
11. ☐ Other. 08/487,992

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

**A copy of this notice MUST be returned with the**